

8TH ANNUAL FEDERAL ENTERPRISE RISK MANAGEMENT SUMMIT

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Mark Addleson is a teacher, writer-researcher, and consultant. Born in South Africa, he taught at Graduate School of Business in Johannesburg and consulted to organizations in many sectors. In the mid-'90s he joined the faculty of George Mason University, serving as the founding Director of the Master's degree in Organization Development and Knowledge Management (ODKM). His main interest and the subject of his recent book, is getting leaders to see *Beyond Management* (Palgrave Macmillan, 2011); to cultivate structures, processes and practices that actually support today's knowledge workers.



Thad Allen is a leader in the firm's business at the Departments of Justice and Homeland Security focusing on emergency management, critical infrastructure protection, cyber security, emergency communications, and maritime security. He is a national thought leader in homeland security, maritime policy, law enforcement and national resiliency. Known for his expertise in bringing together diverse parties to address major challenges and create unity of effort, Mr. Allen completed a distinguished career in the US Coast Guard as its 23rd Commandant in 2010.



Dr. Jennifer Bachner is the Director of the Master of Science in Government Analytics and Certificate in Government Analytics at Johns Hopkins University. She teaches courses in statistical analysis, survey research, public opinion and American political behavior. Her research examines government responsiveness and the use of analytics in the public sector. Her recent report, Predictive Policing: Preventing Crime with Data and Analytics, has been published by the IBM Center for the Business of Government. She received her PhD in government from Harvard University and undergraduate degrees in political science and social studies education from the University of Maryland, College Park.



Jerry Booker, Director, Risk Management Division, Office of the Chief Risk Officer, Transportation Security Administration (TSA). In this role, he is responsible for implementing enterprise risk management initiatives across TSA. Since joining TSA in 2002, he has held a variety of senior leadership positions; including serving as the Director of the Classified Technology Division within the Office of Intelligence and Analysis and as the Acting Director of the Deployment Division with in the Office of Security Capabilities.



Thomas Brandt serves as Chief Risk Officer at the IRS and is responsible for implementing an enterprise risk management (ERM) program to support the identification, prioritization, evaluation and mitigation of key risks to the organization. Previously, Tom was the Director of Planning, Analysis, Inventory and Research (PAIR) in the Large Business and International Division. As Director, Tom held various positions in IRS's budget and planning offices and was one of the lead analysts charged with designing the IRS's balanced measurement system. Tom earned his master's degree in public administration from American University in Washington, D.C. and his bachelor's degree in political science from the State University of New York at Geneseo.

Vice Admiral Jody Breckenridge (R) served 34 years in the US Coast Guard, retiring in 2010 as the Commander of all Coast Guard assets in the Pacific. Her broad understanding of disaster response and preparation led to her appointment as Board Chair for the San Francisco Water Emergency Transportation Authority (WETA). In addition to WETA, she serves on a number of boards of directors/advisors including as Vice Chair for San Francisco Fleet Week which has an extensive humanitarian assistance/disaster response program that brings together private, government, and military sectors.



Speakers – in alphabetical order.



Doug Clift is the Census Bureau’s Chief Risk Management Officer. He has served in this position for five years and has more than 25 years of Federal Government experience. He is responsible for the development, implementation, and management of enterprise investment and risk management frameworks for review, authorization, and monitoring of the Census Bureau’s portfolio of investments. Specific duties include enterprise governance for risk management, program and project management, portfolio management, business process management, and operational efficiency. His prior federal experience includes the Naval Sea Systems Command, and the U.S. Patent and Trademark Office. Mr. Clift has a BS in Business Administration from the University of Mary Washington and a MS in Information Systems from the George Washington University.



Wendell Conner currently serves and the Chief Risk Officer, Office of Public and Indian Housing, at the U.S. Department of Housing and Urban Development (HUD). He is a Certified Public Accountant (CPA) with over 25 years of experience in the affordable housing industry. Wendell is a graduate of Arizona State University’s School of Accountancy and a member of the AICPA, ASCPA and AFERM.



Tom Davies, PhD, George Mason University School of Business, Executive-in-Residence. Tom has held senior executive positions with government and industry- including several leading technology companies such as ACS/Xerox and EDS/HP- responsible for operations, growth, technology management and strategy. He is a published author in professional and academic peer reviewed journals on the subjects of information technology management, risk analysis and data analytics.



Debra Elkins, Ph.D., serves as the Director of the Enterprise Risk Management (ERM) Team in the Office of the Assistant Secretary for Financial Resources / Office of Finance (ASFR / Finance) at the U.S. Department of Health and Human Services (HHS). Prior to joining HHS, Debra worked at the U.S. Department of Homeland Security (DHS), Allstate Insurance Corporation, and General Motors Corporation, in a variety of strategic risk and decision analysis roles. Debra received her B.S. in Mathematical Physics from Sweet Briar College in Virginia, and her Ph.D. in Industrial Engineering – Operations Research from Texas A&M University.



Kenneth Fletcher was named the Chief Risk Officer in February 2014. In this new position he is responsible for developing and driving the long-range strategic vision and objectives for TSA with respect to risk-based security and risk management activities, and implementing enterprise risk management across all areas of the agency. Since joining TSA in January 2003, he has held a variety of field and headquarters positions including Deputy Assistant Administrator for the Office of Risk-Based Security, Senior Advisor to the Administrator, Assistant Federal Security Director of Screening at Baltimore-Washington International Thurgood Marshall Airport, Deputy Federal Security Director at Chicago’s O’Hare Airport and Chief of Staff for the Office of Training and Quality Performance.



Carol Fox is the Director, Strategic and Enterprise Risk Practice at RIMS, *the Risk Management Society*™, a global not-for-profit professional association. A Miami University graduate, she has held progressively responsible risk management positions in the customer care, telecommunications, manufacturing, defense, and insurance industries. Carol is the chair of the U.S. ISO 31000 risk management standards Technical Advisory Group, is a participant on the COSO ERM Advisory Council, and serves on Miami University’s Center of Business Excellence Advisory Board.



Mr. Henry Fu is the Director of Enterprise Risk Management (ERM) and the co-chair of the ERM and the Cybersecurity committees at Fidelity & Guaranty Life, a public NYSE listed life insurer based in Des Moines, Iowa with \$18 Billion in assets under management. He has over 15 years within the financial services industry to include insurance, banking, and audit organizations and has held a variety of roles in risk management. Specialty areas include Own Risk Solvency Assessments (ORSA), Economic Capital (EC) solvency modeling, Stress Testing, Sarbanes Oxley (SOX) compliance, and Information Security.

Speakers – in alphabetical order.



Bob Hirth serves as COSO Chair and was unanimously elected by the board of its sponsoring organizations to serve a three year term beginning June 1, 2013. His experience includes all of COSO's mission disciplines; Enterprise Risk Management, Internal Control and Fraud Deterrence. He has worked on assignments and made presentations in over 15 countries, serving more than 50 organizations and working closely with board members, C-level executives, finance and accounting personnel and accounting firm partners and employees. Most recently, he served as a Senior Managing Director of Protiviti, a global internal audit and business risk consulting firm that operates in 22 countries.



Michael E. Horowitz was confirmed as Inspector General for the Department of Justice (DOJ) by the U.S. Senate on March 29, 2012. He was sworn in as the fourth confirmed Inspector General on April 16, 2012. As Inspector General, Mr. Horowitz oversees a nationwide workforce of more than 400 special agents, auditors, inspectors, attorneys, and support staff whose mission is to detect and deter waste, fraud, abuse, and misconduct in DOJ programs and personnel, and to promote economy and efficiency in Department operations. Mr. Horowitz most recently worked as a partner at Cadwalader, Wickersham, & Taft LLP, where he focused his practice on white collar defense, internal investigations, and regulatory compliance. Mr. Horowitz earned his Juris Doctor, magna cum laude, from Harvard Law School and his Bachelor of Arts, summa cum laude, from Brandeis University.



Christine Jones has been with the Federal government for over 20 years and is currently the Associate Deputy Assistant Secretary for Finance at the U.S. Department of Health and Human Services (HHS). In this role, she leads day to day operations of the Office of Finance and works closely with the HHS CFO community. She is also the lead executive championing Enterprise Risk Management (ERM) at the Department. She is a government-wide expert in program integrity and improper payments, and has worked in various parts of HHS including the Centers for Medicare & Medicaid Services (CMS), and the Office of Inspector General (OIG).



Mr. John Koskinen was nominated by President Obama to be the 48th Commissioner of the IRS and was confirmed by the Senate on December 20, 2013 for a term that expires in November, 2017. Previously, Mr. Koskinen served as Non-Executive Chairman of the Board of Freddie Mac from September, 2008 until February, 2012, except for the six months he served as interim CEO, COO and CFO during 2009. From 2004-8 he was President of the United States Soccer Foundation, the charitable arm of soccer in the U.S. Mr. Koskinen earlier served as Deputy Mayor and City Administrator for the District of Columbia from September 2000 to September of 2003, responsible for the operation of all city departments.



DJ LaVoy is the Deputy Assistant Secretary of the Real Estate Assessment Center (REAC), an organization that he created while under the Andrew Cuomo administration of HUD. The REAC does physical, financial, and risk assessments of the entire portfolio of affordable housing administered by HUD, some six and half million units of housing. He previously has served as the DAS for Field Operations and as the Federal Receiver for Detroit MI and Miami Dade County FL when they were under federal receivership. DJ is a retired Marine Corps officer, naval aviator and combat veteran. He is a graduate of Old Dominion University, the Army War College and a military fellow at Massachusetts Institute of Technology.



Roslyn A. Mazer currently serves as the Inspector General of the Federal Trade Commission. She previously served as the Inspector General of the Office of the Director of National Intelligence and, earlier, as Investigative Counsel in the Department of Justice Office of the Inspector General, where she led congressionally mandated reviews of the FBI's adherence to national security authorities passed in the wake of the September 11 attacks. Prior to her government service, Roslyn was a partner in private practice in D.C., specializing in criminal defense and media law. She holds a Juris Doctor Degree from the Columbus School of Law, Catholic University of America, and a Bachelor's Degree in political science from Syracuse University.



Chief Master Sergeant (Ret) Ken McQuiston served 29 years in the Air Force, retiring as Wing Command Chief Master Sergeant. Since his retirement, he has supported the AF Installation Acquisition Transformation (IAT) effort as a Strategic Sourcing Subject Matter Expert with Air Mobility Command (AMC), as well as leading US Transportation Command's (USTRANSCOM) Change Management/ Knowledge Management efforts. Prior to joining Deloitte, Ken was the Command Chief Master Sgt at USTRANSCOM, 18th Air Force, 52nd Fighter Wing. The Wing conducted multiple combat tours in Afghanistan, in addition to providing global logistical support to all military services and governmental agencies in support of contingency, humanitarian ops and domestic emergencies.



Moe Nikbakhshian is the program director for George Washington University CPS (College of Professional Studies) Enterprise Risk Management and Big Data initiatives, and spent his career in enterprise technology solutions both domestically and internationally. With an entire career in enterprise technology sales and business development to international clients prior to founding KN Global Services in 2007. Mr. Nikbakhshian earned a solid reputation for building and managing highly effective business that set records for revenue and profitability achievement. His effectiveness as an executive for IBM and Boeing Computer Services laid the foundation to replicate his success for international clients seeking to grow and manage their businesses.



Frances I. Nwachuku is the Director of Risk Management for the Department of Energy's Loan Programs Office. Ms. Nwachuku is a senior risk manager with over 20 years of international and U.S. domestic experience in infrastructure, project and corporate debt financing. Prior to serving as Director of Risk Management, Ms. Nwachuku served as LPO's founding Director of Portfolio Management. Before joining the Department of Energy in July 2010, she served for five years as Vice President of Asset Management at the Export Import Bank of the United States overseeing the monitoring of active transactions (project finance, transportation, and large corporate credits), the processing and paying of claims, the restructuring of defaulted credits, and the Bank's recovery efforts.



Jill Oliver is a Senior Risk Officer with the FDIC where she works on key operational risk issues. Prior to going to the FDIC, Jill was a Director of Operational Risk at Fannie Mae in the Division of Enterprise Risk where she led the Remediation Management Office (RMO). Jill has worked in the financial services sector for over 16 years. Prior to Fannie Mae, Jill managed all aspects of grant administration, operations, compliance activities and real estate transactions for the City of Detroit Recreation Department. As a housing policy expert with the Office of the Detroit City Council President, she also led the formulation and adoption of key housing programs. Jill holds a BA in Political Science and a Juris Doctor from Wayne State University. She is also a Certified Information Systems Auditor (CISA).



Angela Patel is the Senior Vice President, Enterprise Risk Management at PenFed. Since joining PenFed in 2011, Angela has established an ERM department responsible for developing risk strategies, policies, and procedures and providing risk advisory services to the board, executive and senior management. In her role, Angela is responsible for the identification, measurement, analysis, and treatment of key risks, within PenFed's risk appetite parameters, across a wide range of categories. In addition to ERM, Angela directly manages PenFed's business continuity, third party, fraud and AML, and physical security programs. Angela holds a BBA from the University of Kentucky, with concentrations in Finance and Marketing and a distinction of Summa cum Laude.



Ken Phelan joined the U.S. Department of the Treasury as its first Chief Risk Officer ("CRO") in November of 2014. Reporting to the Deputy Secretary, he is responsible for establishing and building Treasury's Office of Risk Management and to provide senior Treasury and other Administration officials with analysis of key risks including credit, market, liquidity, operational, governance and reputational risks across the Department. Prior to joining Treasury, Ken served as the CRO for RBS Americas (RBSA) from June, 2011 to October 2014. Before RBS, Ken was the post conservatorship CRO for Fannie Mae from 2009 - 2011, where he was responsible for Credit, Market and Operational risk across the firm.



CDR Eric Popiel has served in the Coast Guard since 1998. Prior to his current assignment, CDR Popiel served as an engineer aboard the Coast Guard Cutter Chase. In 2001, he graduated from Navy Flight School in Corpus Christi Texas and has flown Coast Guard missions and instructed pilots in both the HU-25 Falcon Jet and the HC-144 Ocean Sentry. He currently manages the Evergreen Program, the Coast Guard's Strategic Foresight Initiative, and serves on the leadership team for the Federal Foresight Community of Interest. He holds a bachelor's degree in Civil Engineering from the US Coast Guard Academy and a Master's degree in National Security and Strategic Studies from the US Naval War College.



Dr. Nancy Potok currently serves as the Deputy Director and Chief Operating Officer of the U.S. Census Bureau. She previously served at the U.S. Department of Commerce as Deputy Under Secretary for Economic Affairs, the Census Bureau's Associate Director for Demographic Programs, and the Principal Associate Director and Chief Financial Officer in charge of Field Operations, Information Technology, and Administration during the 2000 Census. She was also the Senior Vice President and Director of the Economic, Labor and Population Studies Department at the University of Chicago National Opinion Research Center (NORC), a social science survey research organization. Dr. Potok is an adjunct professor at The George Washington University, an elected Fellow of the National Academy of Public Administration (NAPA).



Effective October 5, 2014, **Mark Reger** was designated the Deputy Controller of the United States. Mr. Reger was detailed to OMB from the US Treasury Department to serve as the Interim Controller on March 17, 2014, and then assumed the Deputy Controller duties in October. Since March, Mark has been responsible for coordinating OMB's efforts to initiate government-wide improvements in all areas of financial management, including financial reporting, internal controls, grants management, financial systems, improper payments, and real property management. He also serves as the OMB representative on the FASAB.



Lieutenant General (Ret) John Sattler retired from the US Marine Corps in 2008 after 37 years of service. His final position on active duty was serving the Chairman of the Joint Chiefs of Staff as the Director of Strategic Plans and Policy (J5) from 2006 to 2008. Prior to that, he commanded the First Marine Expeditionary Force as well as Marine Corps Forces Central Command from 2004 to 2006—in these posts, he commanded all forces in Al Anbar Province in Iraq. He has also served as the Director of Operations for Central Command, commanded the initial Combined Joint Task Force-Horn of Africa, and established the headquarters in Djibouti.



Peggy Sherry is the deputy Chief Financial Officer (CFO) at the National Credit Union Administration (NCUA). NCUA is the independent federal agency that regulates, charters, and supervises federal credit unions. Peggy previously served as the Deputy Commissioner for Operations Support at the Internal Revenue Service (IRS), where she oversaw the IRS \$12 billion budget, including \$2.1 billion in procurements. Prior to joining IRS, she was the CFO at Department of Homeland Security, a Senate confirmed position, where she was responsible for a \$60 billion budget. Notably, under Peggy's leadership, DHS received its first audit opinion.



Ms. Meredith Stein works at the National Institutes of Health, where she leads the Risk Management, Audit Liaison, and FMFIA Programs. Prior to joining NIH, Ms. Stein managed internal control assessments for the Pension Benefit Guaranty Corporation. Before beginning her Federal government career, Ms. Stein was a Manager for KPMG, where she led internal audits and projects aimed at improving business processes. Ms. Stein received her undergraduate degree in Accounting from the American University and is a Certified Public Accountant.



Joyce Vassiliou is the Director of Quality Services at The Institute of Internal Auditors global headquarters. Joyce has a wealth of international knowledge gleaned from 20 years of experience in the internal audit profession. Previously, Joyce was group head of internal audit for Coca-Cola Hellenic. A multinational organization headquartered in Europe with operations in 28 countries, where she led a global internal audit team. A FTSE 100 company listed on the London Stock Exchange and previously the NYSE, Joyce is experienced with implementing key governance processes related to the listings (Sarbanes and Oxley, FCPA, and UK Bribery Act).

Mr. Joshua S. Vayer is currently the Director of the Law Enforcement Operations Division at the Federal Protective Service (FPS), within the National Protection and Programs Directorate at the United States Department of Homeland Security. He has responsibility for Uniformed Services, Investigations, Canine Operations, Critical Incident Management, and Future Operations. Division Director Vayer earned his undergraduate degree from Amherst College and completed graduate work at Johns Hopkins University. He is a certified Crime Scene Analyst, specializing in clinical forensic science and has published and lectured widely on counter terrorism and law enforcement special operations.



Frank Vetrano, CFA, Deputy Assistant Secretary for Risk Management and Regulatory Affairs for Federal Housing Administration (HUD/FHA). Mr. Vetrano has held senior executive risk management positions within government and private industry. In his more recent roles, Mr. Vetrano is standing up a FHA Enterprise Risk Management program, has been responsible for identifying and executing turnaround strategies for large mortgage credit portfolios adversely affected by the last economic crisis. Mr. Vetrano began his mortgage finance career at Freddie Mac, where he was responsible for structuring risk share arrangements.



Cynthia Jasper Vitters is the Director of the Risk Analysis & Reporting Division in the Risk Management Office of the Department of Education's (US-ED) Office of Federal Student Aid (FSA). She joined the Risk Management group in January 2006 to establish what is believed to be the first formally recognized enterprise risk management program in the Federal government. Prior to joining FSA, Cynthia spent eight years with the US-ED Office of Inspector General managing internal performance audits of multi-billion dollar federally funded education programs and audits of colleges' and universities' administration of Title IV programs.



David C. Williams currently serves as the Inspector General (IG) for the U.S. Postal Service. In July 2011, Williams was appointed by the White House to serve as Vice Chair on the Government Accountability and Transparency Board. In February 2015, the Administration also appointed Williams to the Inter-Agency Advisory Committee, which will establish government-wide financial data standards to promote transparency, facilitate better decision making, and improve operational efficiency. Williams has served as IG for five federal agencies. He is a graduate from the Southern Illinois University and received his Advanced Degree in Education and a Master's in Education from the University of Illinois.



Tim Wiseman has served as the Assistant Vice Chancellor for Enterprise Risk Management at East Carolina University since 2009. Previously, he served for 26 years as a finance officer and resource manager in the U.S. Army. Mr. Wiseman earned the Associate in Risk Management – Enterprise Risk Management (ARM-E) designation in 2012, is a certified defense financial manager (RET), with a B.S. in business administration from the University of Arkansas, a MBA from Syracuse University, and a M.S. in national resource strategy from the Industrial College of the Armed Forces.



Richard V. Young is the Chief Information Officer for the United States Department of Agriculture/Foreign Agricultural Service (FAS). FAS links U.S. agriculture to the world to enhance export opportunities and global food security. In addition to its Washington, D.C. staff, the Office of the Chief Information Officer (OCIO) supports a global network of 96 offices covering 167 countries. Prior to USDA, Mr. Young served as the Director of Information Technology Policy & Compliance within the Department of Homeland Security (DHS) OCIO and as the CEO of Physicians Health Solutions, PLLC.



Margaret M. Zeigler, Ph.D., is the Executive Director of the Global Harvest Initiative (GHI). The Global Harvest Initiative is a private sector voice for agricultural productivity growth throughout the value chain to sustainably meet the demands of a growing world. To meet the food and agriculture demands of 9.7 billion people by 2050, GHI proposes key policies that enhance global food and nutrition security through increases in agricultural productivity, establishing productive safety net programs, and by reducing food loss and waste while conserving natural resources. Dr. Zeigler is a thought leader in the international food security arena with expertise in international poverty alleviation, agricultural development, organizational development and program management.